**Section 1: Does your organization have any of the following entertainment equipment/facilities (owned or leased)?**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Equipment/ Facilities** | **Yes/ No** | **Description** | **Location** | **Detail Records Available?** |
| 1. Corporate Aircraft |  |  |  |  |
| 2. Yachts or Other Vessels |  |  |  |  |
| 3. Resorts for entertaining customers |  |  |  |  |
| 4. Hunting/ Fishing Lodges |  |  |  |  |
| 5. Other |  |  |  |  |

If a “Yes” was indicated, please provide details of any usage by CUEL personnel. Please include names, dates and locations.

|  |
| --- |
|  |
|  |

If logs or other records are maintained, please indicate when they could be made available for a CUEL to review.

|  |
| --- |
|  |
|  |

**Section 2: Please advise if any gifts, awards, entertainment, or other benefits, in excess of $50, were provided to CUEL employees or family member(s).**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Type** | **Yes / No** | **Recipient** | **Purpose** | **Approximate Value** |
| 1. Cash Awards |  |  |  |  |
| 2. Gift Certificates |  |  |  |  |
| 3. Special Occasion Gifts (Christmas, Birthday, etc.) |  |  |  |  |
| 4. Cash loans or advances |  |  | [[1]](#footnote-1) |   |
| 5. Travel (airline tickets, hotel, car rentals, taxis, etc.) |  |  |  |  |
| 6. Entertainment events (sports, theater, golf, fishing, hunting, etc.) |  |  |  |  |
| 7. Business lunches/ dinners |  |  |  |  |
| 8. Services |  |  |  |  |
| 9. Other |  |  |  |  |

If a “Yes” was indicated for any of the above, please provide additional details below.

|  |
| --- |
|  |
|  |

Have you ever been asked by CUEL employees to provide any of the above? If so, by whom, what, when, etc.

|  |
| --- |
|  |
|  |

**Section 3: Employment of CUEL employees or their family members**

Are current or former CUEL employees or their family members employed by your company on a full time, part time, or contract basis?

|  |  |
| --- | --- |
| Yes | No |

If “Yes," please list the CUEL employee, family member(s), and the relevant position.

|  |  |  |  |
| --- | --- | --- | --- |
| **CUEL Employee** | **Family Member** | **Relationship to Employee** | **Position with your Company** |
|  |  |  |  |
|  |  |  |  |

Have you ever been asked to provide employment for CUEL employees or their family members?

|  |  |
| --- | --- |
| Yes | No |

If so, please provide details (by whom, when, what position, etc.?)

|  |
| --- |
|  |
|  |

**Section 4: Other Items:** On occasion, there are occurrences that do not represent a conflict of interest that are purely social arrangements where the individual parties are contributing their own costs e.g. regular golf games, attending events, family vacations etc.

To a third party these might appear to be a conflict of interest, but with the proper information and disclosure in advance we can eliminate items of this nature from further review. We would appreciate disclosure of anything of this nature to assist us in keeping our review to the relevant information.

|  |
| --- |
| Other items: |
|  |
|  |

**Section 5: Code of Conduct**

12.1 Does Supplier have transparency and clear manner process of Supply Chain?

 [ ]  Yes [ ]  No

 If “Yes," please provide info.

12.2 Does Supplier have limit value of presents & gifts to/from external parties?

 [ ]  Yes [ ]  No

 If “Yes," please advise the limit value.

12.3 Please read CUEL’s Code of Conduct: <http://www.cuel.co.th/content/aboutus/20/1/Code-of-Conduct.html>

 [ ]  I hereby acknowledge that I have read, understand and accept to CULE’s Code of Conduct as the link above.

  Signature \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 Name \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 Title \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. [↑](#footnote-ref-1)